

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 03-1106)

**PATENT
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APR 01 2004

In re Application of:

Friesen et al.

Serial No.: 09/289,550

Filed: April 9, 1999

**For: User Interface for an Electronic Trading
System**

Examiner: Charles R. Kyle

Group Art Unit: 3624

Fax No.: 703-872-9306

No. Of Pages: 22

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FACSIMILE TRANSMITTAL LETTER

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
 - a. Amendment and Remarks to Accompany the Request for Continued Examination; and
 - b. Request for Continued Examination (RCE) Transmittal.
2. With respect to fees:
 - a. Please charge my Deposit Account No. 13-2490 in the amount of \$770.00.
 - b. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490.
3. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being transmitted to the USPTO facsimile number 703-872-9306, according to 37 CFR 1.6(d) addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 1, 2004.

Date: April 1, 2004

Respectfully submitted,

By :

Matthew J. Sampson
Matthew J. Sampson
Reg. No. 35,999

MCDONNELL BOEHNEN
HULBERT & BERGHOFF LLP
300 SOUTH WACKER DRIVE

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:

**Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

Attorney Docket No.: 03-1106

Application No.: 09/289,550

Filing Date: April 9, 1999

First Named Inventor: Friesen

Group Art Unit: 3624

Examiner Name: Kyle

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17 (i) required)
- b. ☐ Other

APPLICATION FEES			
BASIC FEE			\$ 770.00
<input type="checkbox"/> Extension of Time fee		+\$	\$
<input type="checkbox"/> Other		+\$	\$
Total of above calculations =			\$ 770.00
Reduction by 50% for filing by small entity =			\$ ()
TOTAL =			\$ 770.00

RCE REQUEST TRANSMITTAL

Attorney Docket No. 03-1106

3. ☒ Please charge my Deposit Account No. 13-2490 in the amount of \$770.00.
4. ☐ A check in the amount of \$ is enclosed.
5. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:
- a. ☒ Fees required under 37 CFR 1.16.
 - b. ☒ Fees required under 37 CFR 1.17.
 - c. ☒ Fees required under 37 CFR 1.18.
6. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

7. CERTIFICATE OF MAILING OR TRANSMISSION

☒ I hereby certify that this correspondence is being transmitted by facsimile to the U.S. Patent and Trademark Office at 703-872-9306, or being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date indicated below.

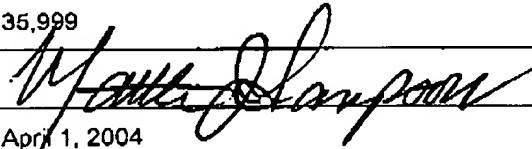
☐ I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below. Express Mail No. .

8. USPTO CUSTOMER NUMBER

20306

McDonnell Boehnen Hulbert & Berghoff LLP

9. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Matthew J. Sampson
Reg. No.	35,999
Signature	
Date	April 1, 2004

RCE (Rev. 11/27/00)